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Application Number: 09/871,427

Filing Date: 5/31/2001

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STATEMENT UNDER 37 CFR 3.73(b)

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Goldman, Sachs & Co. Applicant/Patent Owner: 09/871,427 Application No./Patent No.: 5/31/2001 Filed/Issue Date: Method and System for Processing Raw Financial Data Streams to Produce and Distribute Entitled: Structured and Validated Product Offering Objects Goldman, Sachs & Co., a corporation, states that it is: 1. [X] the assignee of the entire right, title, and interest; or [] an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership is _ in the patent application/patent identified above by virtue of either: An assignment from the inventor(s) of the patent application/patent identified above. A. [X] The assignment was recorded in the United States Patent and Trademark Office at Reel 012201. Frame <u>0720</u>, or for which a copy thereof is attached. OR A chain of title from the inventor(s), of the patent application/patent identified above, to the current B. [] assignee as shown below: From: To: The document was recorded in the United States Patent Office at , or for which a copy thereof is attached. To: The document was recorded in the United States Patent Office at _, or for which a copy thereof is attached. _, F*r*ame _ From: To: The document was recorded in the United States Patent Office at _, Frame _ _, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached. The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. William J. Breen, II Typed or Printed Name

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